

Cambridge International Symposium on Economic Crime

Whistleblowing: A Global Phenomenon



Alternative Programme - Tuesday 3rd September 2019

Whistleblowing: A Global Phenomenon

under the auspices of WhistleblowersUK



Jesus College, Cambridge

08:00 Welcome

Mr. Tom Lloyd, Chairman, WhistleblowersUK and former Chief Constable of Cambridgeshire, QPM MA

08:10 Keynote Addresses

The Rt. Hon. Baroness Susan Kramer of Richmond Park PC, Member of the House of Lords, Liberal Democrat Treasury Spokesperson, Co-Chair of All Party Parliamentary Group for Whistleblowing and former Minister of State Department of Transport, UK

08:30 Session 1: Whistleblowers: Are We Making A Difference?

Chair: Mr. Andrew Samuels, Managing Partner, Addveritas, UK

- Mrs. Georgina Halford-Hall, CEO, WhistleblowersUK
- Dr. Chris Day, National Health Service Whistleblower, UK
- Ms. Lindsey Rogerson, Senior Editor for Financial Regulatory Policy, Thompson Reuters, UK
- Mr. Andrew Gilligan, Policy Advisor to the Prime Minister and Journalist
- Mr. Hugh Wilkins, Honorary Research Fellow, University of Exeter, UK
- Mr. Neil Getnick, Partner, Getnick & Getnick, New York, USA

10:45 Coffee

11:00 Session 2: Incentivising Whistleblowers, Is There A Place For Rewards?

What Does The Evidence Tell Us?

Chair: **Mrs. Georgina Halford-Hall**, CEO, WhistleblowersUK

- **The Hon. Justice Amina Augie**, Justice of the Supreme Court of Nigeria
- **Ms. Mary Inman**, Partner, Constantine Cannon, London, UK
- **Mr. Bradley Birkenfeld**, Whistleblower and former investment banker, UBS Group AG, USA
- **Ms. Alla Konnikov**, Senior Lecturer, The University of Law, UK
- **Ms. Tessa Munt**, Liberal Democrat Politician, UK
- **Ms. Jennifer Haslett**, Head of FATF and International Branch, Sanctions and Illicit Finance Team at HM Treasury

12:45 Lunch

14:00 Session 3: Protecting Those Who Protect Others

Chair: **The Rt. Hon. Baroness Susan Kramer of Richmond Park PC**

- **Mr. Anthony Stansfeld**, Thames Valley Police and Crime Commissioner, former Chief of Staff (Intelligence) Far East, British Army and Managing Director, Pilatus Britten Norman, UK
- **Mr. Douglas Thornton**, Former Director and Whistleblower, HS2, UK
- **Mr. Stephen Kerr MP**, Member of Parliament for Stirling, Member of the Select Committee for Business, Energy and Industrial Strategy and Chair, All Party Parliamentary Group For Whistleblowing, UK
- **Professor Anona Armstrong**, Professor of Corporate Governance, College of Law and Justice, Victoria University, Australia
- **Mr. Simon Reeve**, Barrister, St. Johns Buildings, London, UK

- **Ms. Mary Inman**, Partner, Constantine Cannon, London, UK
- **Ms. Lindsey Rogerson**, Senior Editor for Financial Regulatory Policy, Thompson Reuters, UK
- **Ms. Julie Edge**, MHK, Member of Parliament of the Isle of Man, UK
- **Ms. Heather Bucannon**, Director of Policy for the APPG on Fair Business Banking, UK

16:00 Tea

16:15 Session 4: Can Technology Take the Risk Out of Whistleblowing?

Chair: **Sir. Gerald Howarth**, former Member of Parliament and Parliamentary Under-Secretary of State, Ministry of Defence and Minister for International Security Strategy, UK

- **Mr. Neil Getnick**, Managing Partner, Getnick & Getnick, New York, USA
- **Mr. Andy Verity**, Economics Editor, BBC, London, UK
- **Mr. Dave Porter**, Associate Partner, Cognitive Solutions, IBM, UK
- **Mr. Manish Kainth**, UK Sales Director, Navex Global, UK
- **Dr. Richard Alexander**, Lecturer in Financial Law in the Department of Financial and Management Studies, SOAS, University of London, UK
- **Mr. Andrew Samuels**, Managing Partner, Addveritas, UK
- **Chief Superintendent Andrew McDowall**, Professional Standards Department of Police Scotland with additional responsibility for Anti-Corruption and Vetting.

17:45 Closing Comments:

Mrs. Georgina Halford-Hall, CEO, WhistleblowersUK

Key Note Speaker:

Rt Hon. Baroness Susan Veronica Kramer



Susan Kramer, Baroness Kramer, PC is a British Liberal Democrat politician.

She served as a Member of Parliament (MP) for Richmond Park from 2005 to 2010. Prior to this Susan began her career in finance and rose to become Vice-President of Citibank in Chicago and Second Vice-President of Continental Bank USA. She and her husband established Infrastructure Capital Partners, a firm which advised on infrastructure projects, primarily in Central and Eastern Europe. Throughout her political career, she has been her party's spokesperson for International Development, Trade and Industry, Transport and the Cabinet Office.

After standing down from the

House of Commons she was made a life peer and was created Baroness Kramer, of Richmond Park in December 2010. In 2013, Susan was appointed Minister of State at the Department of Transport, which she held until the 2015 General Election. Ever since, she has served as the Liberal Democrat Lords Spokesperson for the Treasury and Economy. Susan currently serves as the Co-Chair of the All-Party Parliamentary Group on Whistleblowing, the aim is to put whistleblowers at the top of the agenda. The APPG is committed to World Class, Gold Standard whistleblower protection and is undertaking an enquiry into the strength and effectiveness of existing whistleblower legislation before making comprehensive proposals for improvements.



Ridley Hall, Cambridge

Speakers:

Hon. Justice Amina Augie



Hon. Justice Amina Adamu Augie served as Head of Department of the Legal Aid Council, Sokoto, from 1979 to 1980. She served as Senior State Counsel in the office of Chief Counsel to then President, Alhaji Shehu Shagari, from 1982-1984. She was a Lecturer at the Nigerian Law School, Lagos, from 1984 -1988, and was appointed Chief Magistrate in the Sokoto State Judiciary in 1988.

In 1992, she was appointed a High Court Judge of Sokoto State. She was Associate Lecturer at the Uthman Danfodio University, Sokoto from 1999 to 2002. She was elevated to Court of Appeal in 2002, and was Presiding Justice of the Benin Division from July 2009 to September 2010; Presiding Justice, Enugu Division, September 2010 to September 2011; Presiding Justice, Kaduna Division, September 2011 to September, 2012, and Presiding Justice, Lagos Division, September, 2012 to November, 2016. She was elevated to Supreme Court on 7th of November, 2016.

Dr. Richard Alexander



Dr. Richard Alexander is Lecturer in Financial Law in the Department of Financial and Management Studies, SOAS, University of London. He lectures widely on the law and regulation of financial services, international business law and the prevention of economic and financial crime. He has been a Visiting Scholar at the Levinson College of Law, University of Florida, Expert for the Inter-Governmental Action Group Against Money Laundering in West Africa (GIABA) and Visiting Professor at the Shandong Judicial Training College, the University of Paris - West, France and the University of Torun, Poland. Dr. Alexander regularly takes part in conferences and lecture programmes for a range of institutions, which have included the University of the Free State, South Africa, the Supreme People's Procuratorate of the People's Republic of China, East China University of Political Science and Law and the Central University of Finance and Economics, Beijing.

Prof. Anona Armstrong



Professor Anona Armstrong is an Emeritus Professor at the Victoria University College of Law & Justice. She holds a Doctor of Philosophy and a Graduate Diploma in Public Policy from the University of Melbourne.

Professor Armstrong is also a Past President, Fellow and Life Member of the Australasian Evaluation Society and a Fellow of the Australian Psychological Society, the Australian Institute of Company Directors and the Victorian Council of the Institute of Public Administration of Australia. She was elected a Life Fellow of Clare Hall, Cambridge University in 2005 and was invested with the Member of the Order of Australia in 2008.

Professor Armstrong has published over 20 books and supervised over 60 postgraduate students. Her research interests are governance, evaluation and ethics.

Bradley C. Birkenfeld



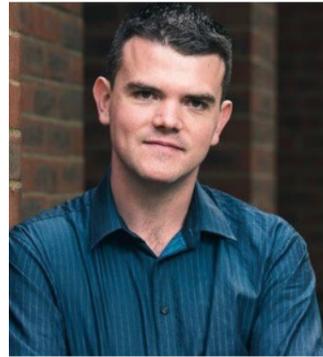
Bradley C. Birkenfeld is a retired investment professional and the most significant financial whistleblower in history. He is the author of *Lucifer's Banker: The Untold Story of How I Destroyed Swiss Bank Secrecy*. As an international private banker he exposed how UBS, the world's largest bank, helped ultra-wealthy Americans commit billions in tax fraud. In 2005, despite a thriving career spanning Credit Suisse, Barclays Bank and UBS, Birkenfeld could no longer keep silent about bank-enabled tax fraud. When UBS rejected his concerns, he turned to the U.S. authorities. Though jailed for 30 months, he was soon vindicated with a record-breaking IRS reward: his work had enabled the U.S. Treasury to recover \$25 billion in back taxes, fines and penalties. The case set off a domino effect of international investigations into offshore banking's secret crimes, including the Panama Papers and much more. Now retired, Birkenfeld is a philanthropist and supports whistleblowing efforts worldwide.

Heather Buchanan



Heather Buchanan is the Director of Policy for the APPG on Fair Business Banking. She is the lead on commercial finance for the Transparency Task Force's (TTF) Banking Team and is a member of the panel of TTF's Financial Stability Team. Heather was on the Steering Committee of the Banking Futures project and is a member of the UK Finance SME Advisory Group. She has also contributed to the Lending Standards Board Standards of Lending Practice for Business Customers, and regularly advises MPs, peers, Government departments, regulators, trade bodies and civil society on the commercial relationships between businesses and their lenders. Heather currently sits on the Steering Group for the new Business Banking Resolution Service (BBRS).

Dr. Chris Day



Dr Chris Day is junior doctor with a young family. He exposed and successfully challenged a Government agency's astonishing attempt to argue the nation's junior doctors out of whistleblowing protection in order to prevent his whistleblowing case being heard. Chris's whistleblowing case raises important issues that need to be heard, but after nearly 5 years, 5 hearings and £240k of crowdfunding Chris still has not had an opportunity to cross-examine a single one of the NHS's 14 witnesses. This has come as a result of the various tactics used by NHS lawyers at great expense to the taxpayer. These have included undermining whistleblowing law for doctors and agency workers, cost threats when as a witness Chris was under oath and not disclosing key evidence. On 15 October 2018 Chris was forced into a settlement which he has been attempting to set aside so the facts of this situation can be properly heard in court.

Julie Edge, MHK



Julie Edge MHK having qualified in business she spent much of her career in the public sector working in the postal service before being elected to the House of Keys in 2016. Since election she has become a leading member of the Tynwald sitting on a wide range of Select Committees that focus on standards including the Select Committee on Free Train and Tram Travel, Financing of Infrastructure Schemes and Projects, The Social Affairs Policy Review Committee and House of Keys Members Standards Committee. In 2018 Julie became chair of the Select Committee on Whistleblowing in 2018 which will be reporting later this year.

Neil V. Getnick



Neil V. Getnick is the managing partner of Getnick & Getnick LLP, a New York City based law firm with a dedicated anti-fraud litigation, business integrity, and corporate monitoring practice. He and his law firm have an active False Claims Act qui tam, IRS and SEC whistleblower practice, and have won a succession of record breaking and precedent setting whistleblower cases, including the largest ever recovery to a single whistleblower. Mr. Getnick is the Chairman of Taxpayers Against Fraud and the TAF Education Fund, Washington, D.C. based whistleblower law advocacy and educational organizations, and is the recipient of their Lifetime Achievement Award. He is an adjunct faculty member at Cornell Law School where he co-teaches a course on Whistleblower Law with the former Dean of the Law School.

Andrew Gilligan



Andrew is a policy adviser and journalist, currently transport adviser to the Prime Minister. Until July 2019 he was senior correspondent of The Sunday Times and had also served as head of the Capital City Foundation at Policy Exchange. Andrew remains a committed cyclist having spent time as cycling commissioner for London. He is best known for a 2003 report on BBC Radio 4's The Today Programme in which he described a British government briefing paper on Iraq and weapons of mass destruction as 'sexed up'. Andrew has received numerous awards including Journalist of the Year in 2008 for his investigative reports on Ken Livingstone.

Georgina Halford-Hall



Georgina Halford-Hall is CEO and a founding director of WhistleblowersUK. She founded the company after becoming one of only 3% of people in the UK who succeed in the Employment Tribunal to help other whistleblowers and campaign for whistleblower protection and access to justice. While supporting whistleblowers Georgina found herself the subject of a police investigation and was arrested under the Data Protection and Theft Act, only to be fully acquitted and vindicated after her evidence enabled the police to launch Operation Snowden to investigate the sexual and physical abuse of over 40 children. In the interim Georgina has helped many whistleblowers to resolve their cases including the landmark Banerjee v RBC case.

Jennifer Haslett



Jennie Haslett is head of FATF and International Branch, Sanctions and Illicit Finance Team at HM Treasury. Jennie lead on the new corporate criminal offence for failure to prevent the criminal facilitation of tax evasion and analysis to inform international engagement on offshore tax evasion

Sir Gerald Howarth



Sir Gerald is a former Conservative Member of the United Kingdom Parliament, representing the constituency of Aldershot since 1997. He was previously MP for Cannock from 1983-1992. He became a Special Adviser to Addveritas in January 2017 a Partner in May and our Chairman in November 2017. Sir Gerald began his career in international finance, working for various international banks. He has a keen interest in matters of corporate governance with extensive expertise amassed over 30-years in Parliament and as Minister for International Security Strategy at the Ministry of Defence from 2010-2012. He has been an active campaigner all his political life and has consistently demonstrated early and influential leadership on the major issues of our day our day, whether global or domestic.

Mary Inman



Mary Inman is a partner in Constantine Cannon's London Office. After 20+ years representing whistleblowers in the U.S., she moved to London in July 2017 to launch the firm's international whistleblower practice. She specialises in representing whistleblowers from the U.K., Europe and worldwide under the American whistleblower reward programs, including the Federal and various state False Claims Acts and the SEC, CFTC, IRS and DOT whistleblower programs.

Ms. Inman's efforts to export the American whistleblower programs to the UK have been featured in the New York Times and New Yorker.

Alla Konnikov



Alla is an Associate Professor at the University of Law with 14 years of academic and management experience specialising in the area of equity and debt finance and law and business.

Alla has been involved as a tutor and designer in a variety of face to face and online programmes. Alla is on the management team involved in strategy, team building and staff development. Alla is part of business development team successfully collaborating with internal and external stakeholders.

Alla is also a Director at the European Institute of Management and Finance involved in structuring, delivering and promoting relevant, stimulating and essential courses in governance, compliance and risk for financial services.

Alla has a BA and MSc with Honours from Columbia University, New York, NY USA in International Relations and Psychology.

Manish Kainth



Manish is a Compliance Programme Director with NAVEX Global. Based in London UK, Manish has been with NAVEX Global for 3 years delivering numerous governance, risk and compliance (GRC) technology-based projects ranging from code of conduct creation, ethics and compliance training, whistleblowing hotlines, case management and policy management solutions globally for its clients. Prior to joining NAVEX Global Manish spent 11 years providing ethics and compliance programme solutions for organisations in regulated and non-regulated, profit and not-for-profit organisations. Manish regularly works with board of directors, executive and management teams by helping them to implement compliance best practices and to build an ethical workplace culture that protects their employees and company reputation.

Stephen Kerr



Stephen Kerr Member of Parliament for Stirling elected in 2017. Stephen's career prior to entering Parliament was in business and his career has included working in UK banking and finance and a long term role as a senior leader with Kimberly Clerk. Stephen is a committed family man and member of The Church of Jesus Christ of Latter-day Saints where he has held a number of positions.

Since entering Parliament Stephen has championed reform of the banking and finance centre and the protection of whistleblowers being elected Chair of the All Party Parliamentary Group (APPG) for Whistleblowers and vice chair of the APPG for Fair business banking. Stephen advocates for whistleblowers promoting the need for legislative reform and the introduction of world class gold standard whistleblowing legislation.

Tom Lloyd QPM



Tom is a former Police Chief Constable with extensive and varied experience including investigating serious complaints against police officers, and leading complex and sensitive inquiries. Tom was the Director of Strategic Co-ordination at New Scotland Yard which gave him an in depth understanding of the complexity and challenges facing large organisations and of the structures and personal barriers people encounter when bringing concerns into the open. Tom's background in professional policing standards has brought him into contact with many cases of alleged misconduct and the problems associated with holding individuals and organisations to account.

Andy McDowall



Chief Superintendent Andrew McDowall is the current of Professional Standards Department of Police Scotland with additional responsibility for Anti-Corruption and Vetting. Andrew has 28 years wide and varied policing experience incorporating Operational, Community Policing, Training, Command and Control and now Professional Standards.

Andrew has operated locally within Glasgow and the West of Scotland and has held a number of national portfolios since the creation of Police Scotland on the 1 April 2013. Most recently Andrew has been instrumental in embedding the Values and Ethics work stream into the Professional Standards Department to further develop Police Scotland's approach to values based decision making with human rights and ethical considerations at the heart of everything the Police Service do in Scotland.

Tessa Munt



'Liberal Democrat politician. Served as the Member of Parliament for Wells in Somerset from 2010–2015 and as the Parliamentary Private Secretary (PPS) to the Secretary of State for Business, Innovation and Skills, Vince Cable from 2012–2015. Somerset County Councillor for Wells since 2017.'

Dave Porter

Simon Reeve

Lindsey Rogerson

Andrew Samuels



Mr. Dave Porter is Associate Partner of Cognitive Solutions, IBM UK.

Simon Reeve was the MP for Dewsbury from 2010-2015 returning to the law after narrowly losing his seat. Simon is a barrister specialising in a range of often high profile matters including banking and financial fraud, the military, sexual crimes and whistleblowing. Simon is one of the most experienced Military Law barristers in the UK. During his political career Simon undertook to challenge the mechanisms that underpin statutory governance and has remained active in his role as an advisor to the All Party Parliamentary Group on Fair Business banking. Simon also advises WhistleblowersUK in his role as standing counsel. Simon regularly contributes to publications and journals offering insight into specialised and complex topics including whistleblowing.

Lindsey Rogerson joined Thomson Reuters as senior editor for financial regulatory policy in UK and Europe, in November 2017. She is an award winning journalist and has been a financial writer, columnist and editor for over 20 years. She is also an expert in regulating in the interests of consumers. From 2014-2017 she was a member of the Securities and Markets Stakeholder Group of the European Securities and Markets Authority. Prior to that from 2006-2012 she was a member of the Financial Services Consumer Panel, latterly chairing its European Working Group. She has also consulted on the impact of financial regulation for a number of private sector organizations. She has an MA in Political Economy & History from University of Glasgow and a postgraduate diploma in journalism from Cardiff University.

A Founding Partner in Addveritas, Andrew is a thought and industry leader in the establishment and operation of whistleblowing programmes that see organisations and individuals benefit from the information that human intelligence provides. In this capacity, Andrew has worked with organisations from the UN and IOC through to charities and banks amongst other industries. Andrew has been the UK Delegate Head of the British Standards Institute to the International Standards Organisation for the Governance of Organisations since 2017 where he has taken a lead role in the development of the upcoming ISO Whistleblowing standard, ISO37002. He's a frequent contributor to media along with academic studies and the recent APPG report into Whistleblowing.

Anthony Stansfeld



Anthony Stansfeld is currently a member of West Berks Council, and was a member of the Thames Valley Police Authority for several years taking over as Chairman of the Performance Committee in 2011. He has had a career in both the military and in industry. He enlisted in the Army at 17 and joined the Royal Green Jackets where he saw active service in Borneo and Northern Ireland. He learnt to fly helicopters at Middle Wallop and commanded various Army Air Corps Squadrons, including the Army helicopters in the Falkland Islands in the latter half of 1982.

Douglas Thornton



Doug is a Fellow of the Royal Institution of Chartered Surveyors having been first elected in 1993. He has a Degree in Land Economics and is also a Chartered Member of the Institute of Building. He has held senior roles in both the public and private sector with organisations such as Tesco, Amazon, and, regrettably, High Speed 2. As a Director at Tesco Doug co-authored Governance and Process for global property teams as well as successfully leading a substantial United Kingdom land acquisition & development program. Married with two inbetween children he enjoys walking the dogs, golf, and the odd bit of sailing.

Andy Verity



As the Director of Neuro-Training Pty Ltd and adviser to The College of Neuro-Training Pty Ltd, Andrew Verity is a man with a passion for understanding neurological health. He brings the knowledge, expertise and experience necessary to lead and develop nationally recognized training colleges. Previously Andrew has developed multiple curriculum in Australian colleges to Diploma level and founded training colleges in Australia, Norway, Germany, Italy, South Africa and Switzerland. During his five year term as the President of the International Association of Specialized Kinesiologists, Andrew has aided in laying the groundwork for professional structures of qualification that are now the accepted norm, both nationally and internationally. With over 25yrs years of working in the natural therapy fields and with a dedicated focus to the neurological aspect of living a balanced, effective life.

Hugh Wilkins



Hugh is a clinical scientist and has worked in hospitals and universities in the UK and overseas. His main areas of responsibility have been in the safe and effective use of radiation in medicine, for diagnosis, treatment and research. He was unfairly dismissed by hospital managers after raising patient safety and legal compliance concerns, subsequently corroborated by the relevant regulator (the Care Quality Commission). He is currently seeking to raise awareness of the realities of reprisals against whistleblowers, and is promoting specific actions to encourage the culture change which is widely recognized as being needed so that NHS staff in particular can speak up without suppression or retaliation.



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